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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of*: Lee et al.

Serial No.: 10/718,191

Group No.: 2813

Filed: November 20, 2003

Examiner: Pham, Thanhha S.

For*: Novel Encapsulation Method for SGBA

Fee Only

Patent No.: Issued:

NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment also insert application serial number and filing date.

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(37 C.F.R. 1.28(a))

NOTE: 37 C.F.R. § 1028(a) "(a) Refunds based on later establishment of small entity status. A refund pursuant to § 1.26, based on establishment on small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under § 1.136. Status as small entity is waived for any fee by the failure to establish the status prior to paying, at the time of paying, or within three months of the date of payment of, the full fee."

NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(1). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

I. SUBMISSION OF SMALL ENTITY ASSERTION

Attached is an assertion of small entity status in this application.
 An assertion of small entity status was filed in this application on

IV. MANNER OF REFUND

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Daniel R. McClure
Daniel R. McClure; Reg. No. 38,962

**THOMAS, KAYDEN, HORSTEMEYER
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100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948
770-933-9500